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Comet C/2004 Q2 (Machholz): Parent Volatiles, a Search for Deuterated Methane, and Constraint on the CH4 Spin **Temperature**

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COMET C*/*2004 Q2 (MACHHOLZ): PARENT VOLATILES, A SEARCH FOR DEUTERATED METHANE, AND CONSTRAINT ON THE CH4 SPIN TEMPERATURE

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ABSTRACT

High-dispersion (*λ/δλ* ≈ 25,000) infrared spectra of Comet C*/*2004 Q2 (Machholz) were acquired on 2004 November 28–29, and 2005 January 19 (UT dates) with the Near InfraRed echelle SPECtrograph (NIRSPEC) at the Keck-2 telescope on Mauna Kea. We detected H_2O , CH_4 , C_2H_2 , C_2H_6 , CO , H_2CO , CH_3OH , HCN, and $NH₃$ and we conducted a sensitive search for CH₃D. We report rotational temperatures, production rates, and mixing ratios (with respect to H2O) at heliocentric distances of 1.49 AU (2004 November) and 1.21 AU (2005 January). We highlight three principal results. (1) The mixing ratios of parent volatiles measured at 1.49 AU and 1.21 AU agree within confidence limits, consistent with homogeneous composition in the mean volatile release from the nucleus of C/2004 Q2. Notably, the relative abundance of C_2H_6/C_2H_2 is substantially higher than those measured in other comets, while the mixing ratios C_2H_6/H_2O , CH_3OH/H_2O , and HCN/H_2O are similar to those observed in comets, referred to as "organics-normal". (2) The spin temperature of CH_4 is $>$ 35–38 K, an estimate consistent with the more robust spin temperature found for H₂O. (3) We obtained a 3*σ* upper limit of CH3D*/*CH4 *<* 0.020 (D*/*H *<* 0.005). This limit suggests that methane released from the nucleus of C/2004 Q2 is not dominated by a component formed in extremely cold (near 10 K) environments. Formation pathways of both interstellar and nebular origin consistent with the measured D*/*H in methane are discussed. Evaluating the relative contributions of these pathways requires further modeling of chemistry including both gas-phase and gas-grain processes in the natal interstellar cloud and in the protoplanetary disk.

Key words: comets: general – comets: individual (C/2004 Q2 [Machholz]) – infrared: solar system – ISM: molecules – solar system: formation

Online-only material: color figures

1. ESTABLISHING DIVERSITY IN COMETARY COMPOSITION

The composition of comets provides a major observational constraint in cosmogony. The volatiles and dust stored in comet nuclei preserve a physicochemical record for the conditions (temperature, pressure, radiation field density) under which cometary material formed (Wooden [2008;](#page-11-0) DiSanti & Mumma [2008;](#page-11-0) Bockelée-Morvan et al. [2004;](#page-11-0) Irvine et al. [2000;](#page-11-0) Mumma et al. [1993\)](#page-11-0).

Comparing relative abundances of parent⁶ molecules (H_2O , HCN, CO, etc.) in comets to those found in the interstellar medium (ISM) and in disks around young stars is vital to understanding the different stages of planetary system formation and the processing history experienced by organic matter during the evolutionary transitions between these stages (see Charnley & Rodgers [2008a,](#page-11-0) [2008b;](#page-11-0) Carr & Najita [2008;](#page-11-0) Ehrenfreund et al. [2006;](#page-11-0) Gibb et al. [2007a;](#page-11-0) Boogert et al. [2004;](#page-11-0) Nuth et al. 2000). Measuring the native⁶ volatile composition of comets tests dynamical models for the early solar system (Böhnhardt et al. [2008,](#page-11-0) Kobayashi et al. [2007,](#page-11-0) Mumma et al. [2005\)](#page-11-0). Studies of comets are also important for assessing the possibility of exogenous delivery of water and prebiotic organics to early Earth

as a hypothesized precursor event(s) leading to development of the biosphere (Delsemme [1998,](#page-11-0) [2000\)](#page-11-0).

Spectroscopy has revealed a number of molecules released from comet nuclei and a significant diversity in the relative abundances (or "mixing ratios") among them (see DiSanti & Mumma [2008;](#page-11-0) Crovisier [2006;](#page-11-0) Dello Russo et al. [2008;](#page-11-0) Magee-Sauer et al. [2008b;](#page-11-0) Biver et al. [2002;](#page-11-0) Mumma et al. [2001\)](#page-11-0). This chemical diversity has yet to be fully explored, because the sample of observed comets is fairly small, especially at infrared (IR) wavelengths where molecules with no permanent dipole moment (CH₄, C_2H_2 , C_2H_6) can be uniquely sensed.

Moreover, in addition to mixing ratios (e.g., CH₄/H₂O, HCN*/*H2O, CO*/*H2CO*/*CH3OH, etc.), other (possible) cosmogonic signatures such as isotopic $(D/H, 15N/14N)$ and nuclear-isomeric (ortho-para, A:E:F, etc.) ratios in particular species are of keen interest in cometary science. With few exceptions, the knowledge of these important "observables" is very limited (see the reviews of Charnley & Rodgers [2008a;](#page-11-0) Crovisier [2006\)](#page-11-0). In the case of methane (of particular interest in this work), an upper limit for $CH₃D/CH₄$ equal to 0.04 (95% confidence limit) was found in comet C*/*2004 Q4 (NEAT) (Kawakita et al. [2005\)](#page-11-0), based on a single spectral line. The same work also reported a spin temperature for CH₄ (T_{spin} ~ 33 K, corresponding to the A:E:F nuclear isomeric ratio). Measurements of both deuterium enrichment and spin temperatures of various species in many more comets are critical for testing possible variations among the comet population. The inter-relation

⁶ "Parent" molecules are those released directly from ices stored in the nucleus ("native" ices).

Table 1 Observing Log and H2O Production Rates

| UT Date | NIRSPEC Setting | Rh (AU) | $dR_{\rm h}/dt$ (km s ⁻¹) | Δ (AU) | $d\Delta/dt$ (km s ⁻¹) | T_{int} (minutes) | $Q(H_2O)$ (10 ²⁶ s ⁻¹) |
|-------------|------------------|-----------|---------------------------------------|---------------|------------------------------------|----------------------------|---|
| 2004 Nov 28 | KL1 | l.493 | -15.2 | 0.654 | -21.7 | | 1535 ± 69 |
| | KL2 | 1.493 | -15.2 | 0.655 | -21.8 | 20 | $1435 + 124$ |
| 2004 Nov 29 | MWA | l.484 | -15.0 | 0.642 | -21.5 | | 1253 ± 153 |
| 2005 Jan 19 | KL1 | .208 | -2.0 | 0.393 | 10.9 | | $2727 + 114$ |
| | K _L 2 | 1.208 | -2.0 | 0.394 | 11.0 | | 2755 ± 132 |

Note. *R*h, *dR*h*/d*t, Δ, and *d*Δ*/d*t are, respectively, heliocentric distance, heliocentric radial velocity, geocentric distance, and topocentric line-ofsight velocity of C*/*2004 Q2; *T*int is total integration time on source, and *Q*(H2O) is the water production rate, as described in Section 3.

of such measurements with other plausible cosmogonic indicators (mixing ratios of parent volatiles, properties of cometary dust) will (likely) clarify the origin of cometary material.

This paper summarizes the results from our comprehensive study of parent volatiles in comet C/2004 Q2 (Machholz),⁷ observed with the Near InfraRed echelle SPECtrograph (NIR-SPEC; McLean et al. [1998\)](#page-11-0) at the W. M. Keck Observatory atop Mauna Kea, Hawaii. We detected a number of parent volatiles and conducted a sensitive search for $CH₃D$. We highlight three principal results:

- 1. An upper limit for the deuterium enrichment of methane (CH_3D/CH_4) .
- 2. An lower bound for the spin temperature of methane.
- 3. Mixing ratios of CH₄, C_2H_2 , C_2H_6 , H_2CO , CH₃OH, HCN, and $NH₃$ relative to $H₂O$, measured (or stringently constrained) at heliocentric distances of 1.49 AU and 1.21 AU. Mixing ratio CO/H₂O measured at 1.48 AU.

In Section 2, we describe our observations of comet Q2*/* Machholz. In Section 3, we compare the mixing ratios of several parent volatiles with respect to H_2O , measured at heliocentric distances of 1.49 AU (November 2004) and 1.21 AU (January 2005). In Section 4, we discuss our detection of $CH₄$ and simultaneous search for $CH₃D$. In Section 5, we estimate the CH4 spin temperature, followed by discussion of results (Section 6).

2. OBSERVATION OF C*/*2004 Q2 (MACHHOLZ) WITH NIRSPEC AT KECK 2

Comet C*/*2004 Q2 (Machholz) was discovered on 2004 August 27 (Machholz et al. [2004\)](#page-11-0) and reached naked-eye brightness ($V < 4^{\rm m}$) by 2005 January. Q2/Machholz belongs to the dynamical class of "nearly isotropic comets," whose dynamical reservoir in the present-day solar system is most likely the Oort Cloud (Levison [1996\)](#page-11-0). It has a highly eccentric (*e >* 0.999) orbit. As a result of the comet's passage in the inner solar system, the 1*/a* orbital parameter changed from 0.000408 to 0.0004433. The comet's closest approach to Earth (geocentric distance $\Delta = 0.35$ AU) occurred on 2005 January 5 and its perihelion was on 2005 January 24 at heliocentric distance R_h = 1.205 AU (see Nakano [2006](#page-11-0) and the JPL Small Body data base).⁸

Via different methodologies three optical studies revealed the following rotation periods (*P*) for the nucleus of C*/*2004 Q2. Farnham et al. [\(2007\)](#page-11-0) reported $P = 17.60 \pm 0.05$ h based on CN coma morphology. Sastri et al. (2005) reported $P = 9.1 \pm 1.9$ h based on modeling dust fans visible in *R*-band images. Reyniers et al. [\(2009\)](#page-11-0) reported $P = 9.1 \pm 0.2$ h based on the light-curve analysis of optical broadband images. The latter study considers the possibility that their method has sampled one half instead of the full period.

We observed Q2*/*Machholz with NIRSPEC at the Keck-2 telescope on 2004 November 28–29 and on 2005 January 19 (UT dates). Table 1 shows condensed observing logs and derived water production rates. During the first observing run, we quantified the production rates and relative abundances of several species commonly observed at IR wavelengths, including $H₂O, CH₄, HCN, C₂H₆, CH₃OH, CO, and H₂CO. The observing$ circumstances were exceptionally favorable in January, allowing (in addition to measuring the overall volatile composition) detections of weaker species (acetylene, ammonia) and a sensitive search for mono-deuterated methane.

We nodded the telescope along the 24 " long slit in an $A_1B_1B_2A_2$ sequence with 12" beam separation. The operation $(A_1 - B_1 - B_2 + A_2)$ provided cancellation (to second order in air mass) of thermal background emission and of "sky" line emission from the Earth's atmosphere. A slit width of 0*.* 43 resulted in spectral resolving power $\lambda/\delta\lambda \approx 25,000$.

The cross-dispersed capability of NIRSPEC permitted sampling of all targeted molecules using two instrument settings in the *L* band (2.9–4.0 μ m) and one in the *M* band (4.4–5.5 μ m). The "KL1" setting samples (simultaneously) H_2O , C_2H_6 , and CH₃OH; "KL2" samples H₂O, HCN, NH₃, C₂H₂, C₂H₆, CH₄, $CH₃D$, and $H₂CO$; and "MWA" samples $H₂O$ and CO. The ability to always simultaneously measure water within the same grading setting is significant. As the dominant parent volatile H2O serves as the natural "baseline" with which the abundances of all other species are compared. Simultaneous sampling of H2O and other species eliminates most sources of systematic error that otherwise would affect the resulting mixing ratios (CH4*/*H2O, HCN*/*H2O, etc.).

Data reduction, flux calibration (based on observations of a standard star), and spectral extraction were achieved using custom-designed algorithms developed specifically for our comet observations. These algorithms are described in multiple sources, including DiSanti et al. [\(2006\),](#page-11-0) Villanueva et al. [\(2009\)](#page-11-0) and references therein. Bonev [\(2005,](#page-11-0) Appendix 2) reviews in detail all the important steps leading from data acquisition to flux-calibrated spectra of C*/*2004 Q2. Wavelength calibration is accomplished by comparing the sky radiance with spectra synthesized using a rigorous line-by-line radiative transfer model of the terrestrial atmosphere (GENLN2; Edwards [1992\)](#page-11-0). This model was recently updated to properly include pressure-shift coefficients and the latest spectroscopic parameters (Villanueva et al. [2008;](#page-11-0) Hewagama et al. [2003\)](#page-11-0).

Flux-calibrated spectra of C*/*2004 Q2 obtained on 2004 November 28–29 and on 2005 January 19 are shown in

⁷ Hereafter Q2/Machholz or C/2004 Q2.
⁸ http://seed.ipl.nasa.gov/

⁸ [http://ssad.jpl.nasa.gov/.](http://ssad.jpl.nasa.gov/)

Figure 1. Spectral gallery of C*/*2004 Q2 (Machholz), showing the (continuumsubtracted) signal within a 0.43×1.78 arcsecond aperture centered on the comet. The black dashed lines envelope the photon noise ($\pm 1\sigma$). Detections of C_2H_6 (a–b), H_2O (c–d), CH_3OH (e–f), HCN (g–h), CO (i), H_2CO (j–k), and CH4 (l) are highlighted. The *Q*-branch of the CH3OH *ν*³ band (panels e–f) is commonly used to measure methanol production rates in comets from infrared observations. Acetylene (*ν*3 band) and ammonia (*ν*1 band) are detected on 2005 January 19 (panel g). C_2H_2 ($v2 + v4 + v5$ band) has a line at the frequency of the emission feature near 3295.8 cm−1. However, claiming detection requires developing a fluorescence model and testing it against multiple lines, so this feature is not used to derive a production rate for acetylene. The emission near 3302 cm⁻¹ might be a signature of NH₂ (*ν*1 band). However, C₂H₂ (R2, *ν*3 band) contributes substantially to the detected emission, which complicates quantitative analysis.

Figures 1 and 2.9 2.9 The January spectra are of especially high quality allowing detection of C_2H_2 and NH₃.

⁽A color version of this figure is available in the online journal.)

 9 Selected flux-calibrated spectra in ascii format can be immediately requested from the M. J. M. (Michael J. Mumma@nasa.gov). All flux calibrated spectra will be made available after our on-going investigations on C*/*2004 Q2 (Machholz) that are not included in this work are complete.

Figure 2. Detection of CH₄ and search for CH₃D in $C/2004$ O2 on 2005 January 19. The lines in the model marked with "x" are excluded from quantitative analysis because of possible spectral contamination by emissions from other species (see text for detailed discussion). The black dashed lines envelope the photon noise (± 1*σ*).

(A color version of this figure is available in the online journal.)

3. ORGANIC VOLATILE COMPOSITION

Production rates $(Q,$ molecules s⁻¹) were obtained by comparing the measured line fluxes to predicted fluorescence efficiencies (*g* factors) for the appropriate rotational temperature (T_{rot}) . The *g* factors are based on quantum-mechanical fluorescence models for H₂O (Dello Russo et al. 2004 , 2005), C₂H₆ (Dello Russo et al. 2001), CH₄ (Gibb et al. 2003), CH₃OH (Reuter [1992;](#page-11-0) DiSanti et al. [2002\)](#page-11-0), H2CO (DiSanti et al. [2006;](#page-11-0) Reuter et al. [1989\)](#page-11-0), CO (DiSanti et al. [2001\)](#page-11-0), HCN (Magee-Sauer et al. 1999), C_2H_2 (Magee-Sauer et al. 2002), and NH₃ (Magee-Sauer et al. [2008a\)](#page-11-0)

Multiple lines are used to obtain most production rates, and the level of disagreement between line-by-line production rates is included in the uncertainty. The exceptions are $CH₃OH$ and H2CO whose production rates are based on the integrated intensity of the detected *Q*-branches. The detection of the $H₂CO$ Q-branch in the November 28 spectrum is marginal (Figure $1(k)$ $1(k)$), reflected in the larger error of the resulting production rate.

The production rate of ammonia deserves a special discussion because of possible spectral "contamination" from other species. First, we find no evidence that the emission near 3295 cm⁻¹ labeled NH_3 (Figure [1\(](#page-4-0)g)) is contaminated by NH_2 . Both the measured frequency (3295.4 cm−1) and the line width of this spectral feature are entirely consistent with this emission originating from two blended ammonia lines $[aqP(2,0)$ and $aqP(2,1);$ v_1 band]. On the other hand, the NH₂ (v_3 band) emission should peak at 3295.5 cm−1. Second, the contribution of HCN to the emission near 3317 cm⁻¹ is negligible (nevertheless the HCN contribution was modeled out before quantitative analysis of $NH₃$). This weak feature is primarily due to ammonia [sqP(1,0)]. We note that definitive searches for NH_2 and detection of NH_3 via larger number of (stronger) lines require higher spectral resolving power and broader spectral coverage.

Detailed descriptions of the methodology for obtaining rotational temperatures, production rates, and mixing ratios (or their upper limits) are given elsewhere (Villanueva et al. [2009;](#page-11-0) DiSanti et al. [2006;](#page-11-0) Bonev et al. [2006,](#page-11-0) [2007;](#page-11-0) Dello Russo et al. [2004\)](#page-11-0). Production rates, rotational temper-

atures, and mixing ratios (relative to H_2O) are summarized in Tables [2](#page-6-0) and [3.](#page-6-0) The observed transitions of H_2O , HCN, and CO sample quantum levels with a range of rotational energies sufficiently broad to constrain the temperature. We adopted the measured temperatures for water for all other species. Noting that $T_{\text{rot}}(\text{HCN})$ and $T_{\text{rot}}(\text{H}_2\text{O})$ differ significantly in January, we verified that the mixing ratio of HCN is only weakly influenced by this difference. We sample enough lines of HCN so that the resulting production rate is relatively insensitive to moderate (within 15–20 K) changes in rotational temperature. As a result, the relative abundance $HCN/H₂O$ is nearly the same whether we assume $T_{rot}(HCN) = 76$ K or $T_{rot}(HCN) = 93$ K (Table [2\)](#page-6-0).

Our water production rate derived for 2005 January 19 agrees within error with the Odin satellite submillimeter measurement (2005 January 20) – Biver et al. [\(2007\)](#page-11-0) report $Q(H_2O)$ = $(26.4 \pm 0.8) \times 10^{28} \text{ s}^{-1}$. Most importantly, the agreement of our mixing ratios measured at $R_h = 1.49$ AU and 1.21 AU (Figure [3\)](#page-7-0) is consistent with chemical homogeneity in "mean volatile release" from the nucleus of C*/*2004 Q2 (see discussion in Section 6.2).

4. AN UPPER LIMIT FOR CH3D*/*CH4 IN COMET C*/*2004 Q2 (MACHHOLZ)

Figure 2 shows our detection of CH_4 and simultaneous search for CH3D in C*/*2004 Q2. A production rate for methane is derived from the KL2 setting based on the R0, R1, and R2 lines, and from the KL1 setting, based on the P2 line (see Figure $1(a)$ $1(a)$). The CH₄ production rates are obtained independently for each NIRSPEC setting and agree within error (Table [4\)](#page-6-0).

In our search for $CH₃D$, we apply the following criterion for molecular detection.

- 1. More than one emission line of the searched molecule must be detected.
- 2. The abundances of the searched volatile, derived independently from each detected line must be in reasonable agreement.

A CH3D fluorescence model (accounting for terrestrial atmospheric transmittance) is shown in Figure 2. This model

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Notes.

^a Rotational temperature. Values in parenthesis are assumed.

b Errors in production rate include both photon noise and line-by-line deviation between modeled and observed intensities (see Bonev et al. [2007,](#page-11-0) Dello Russo et al. [2004,](#page-11-0) Bonev [2005\)](#page-11-0). For *Q*(H2O), the additional error in parenthesis includes also the uncertainty associated with slit losses caused primarily by atmospheric seeing (see DiSanti et al. [2006,](#page-11-0) Bonev et al. [2006\)](#page-11-0). Slit losses do not affect mixing ratios among parent species measured within the same NIRSPEC setting, but should be considered in comparison between H2O production rates measured from different settings and*/*or on different dates.

^c See also Table 4 and Section 4 for methane results on 2005 January 19.

^d Upper limits are 3*σ*.

Notes. *T*_{rot} and Q are defined as in Table 2. **a** The error in Q(CH₃D) (σ _Q) exceeds the formal measured value, i.e., CH₃D was not detected. The upper limit for the CH₃D mixing ratio corresponds to $3 \times \sigma_Q(CH_3D)/Q(CH_4)$ (KL2 setting). See text (Section 4) for a discussion of the factors affecting the sensitivity of the resulting upper limit.

is adopted from Kawakita & Watanabe [\(2003\).](#page-11-0) We notice an emission feature close in frequency to the CH₃D transition $(RR(0,0))$ near 3025 cm⁻¹. If we assume that this emission belongs to CH_3D , we obtain $CH_3D/CH_4 = 0.3$ for the abundance of mono-deuterated methane. However, this value is inconsis-

tent with the nondetections of other sampled transitions (see model Figure [2\)](#page-5-0), and therefore violates both detection criteria (1) and (2). For such a high abundance, the strong $CH₃D$ line $({}^{R}R(3,3))$ near 3054 cm⁻¹ is predicted to be of comparable intensity with the lines from the OH "quadruplet" between 3047 and

Figure 3. Mixing ratios (relative to H_2O) measured in $C/2004$ Q2 (Machholz). All parent molecules except CO were measured both in 2004 November $(R_h =$ 1.49 AU) and 2005 January ($R_h = 1.21$ AU). See discussion in Sections 6.1 and 6.2.

3043 cm−¹ (as shown on the figure). Instead, the nondetection of this line implies that $CH_3D/CH_4 < 0.027$ (3 σ limit). Although we detect a spectral feature at the frequency of one searched CH3D line, we cannot claim detection of this molecule, because other emissions that are predicted to be substantially stronger are not identified.

Three factors affect the sensitivity of the resulting upper limit for mono-deuterated methane. First is the photon noise near the expected positions of CH₃D lines. This noise is dominated by thermal background emission and by the "sky" line emission from the terrestrial atmosphere (e.g., Figure 1 of Bonev et al. [2006\)](#page-11-0). The second factor is possible frequency overlap by emission from species other than $CH₃D$ in the spectrally crowded region near 3.3 *μ*m. Using only transitions without identified blends with emission from other species (these are marked with "X" in Figure [2\)](#page-5-0), we obtained a 3σ upper limit of $CH_3D/CH_4 < 0.020$ (Table [4\)](#page-6-0), corresponding to D/H (methane) < 0.005 .¹⁰

The third factor affecting the reported upper limit is the adopted rotational temperature for $CH₃D$. We assumed the same T_{rot} (93 K) for both CH₄ and CH₃D, equal to that measured for H2O (Table [2\)](#page-6-0). This represents the more conservative choice for deriving an upper limit—assuming lower rotational temperature for CH3D would result in a smaller (more stringent) upper limit for CH3D*/*CH4, because the *g* factors of the sampled strong CH₃D lines increase with decreasing T_{rot} (see Kawakita & Watanabe [2003\)](#page-11-0).

Kawakita & Kobayashi [\(2009\)](#page-11-0) published a completely independent investigation focusing on the spin temperatures of water and methane in Q2/Maccholz and on CH₃D. They observed the comet on 2005 January 30 with NIRSPEC and accumulated 36 minutes on source (versus 8 minutes in our study). Based on a tentative detection of the ${}^{R}R(3,3)$ line, this work reports D/H $= 0.0038 \pm 0.0013$ (CH₃D/CH₄ = 0.0152 \pm 0.0052). These authors point out that the detected emission might be attributed to another species (e.g., $CH₃OH$), in which case they conclude that on the 95% confidence level $D/H < 0.0064$ (CH₃D/CH₄ $<$ 0.025). These results are in good agreement with our retrieval,

thereby increasing the reliability of D*/*H measured for methane in C*/*2004 Q2.

Finally, our 3*σ* upper limit for CH3D*/*CH4 (0.020) agrees with the measurement in another Oort cloud Comet—C*/*2001 Q2 (NEAT)—reported by Kawakita et al. [\(2005;](#page-11-0) CH3D*/*CH4 *<* 0.04, 95% confidence limit).

5. SPIN TEMPERATURE OF METHANE IN C*/*2004 Q2: CONSTRAINTS AND UNCERTAINTIES

In this section, we describe the constraints on the spin temperature of CH_4 that can be imposed by the $C/2004$ $Q2$ measured spectra. The CH_4 molecule exists in three types of nuclear spin species (A, E, and F; see Barnes et al. [1972\)](#page-11-0). The temperature that reproduces a given A:E:F abundance ratio under conditions of thermal equilibrium is defined as the methane spin temperature (Kawakita et al. [2005,](#page-11-0) Gibb et al. [2003\)](#page-11-0). This parameter should be distinguished from the rotational temperature defined as the Boltzmann temperature that describes the rotational population distribution within the ground vibrational level for a given spin "ladder". Radiative and collisional transitions among levels from different spin states are strongly forbidden.

5.1. The R0/R1 Line Ratio as a Spin Temperature Diagnostic

The R0 and R1 lines (v_3 band) of CH₄ represent pure A and F transitions, respectively $(A_2-A_1 \text{ and } F_2-F_1$; see Gibb et al. [2003](#page-11-0) or Drapatz et al. [1987\)](#page-11-0), and their flux ratio is sensitive to T_{spin} . The abundance ratio F*/*A increases with spin temperature until reaching the statistical equilibrium value of 9:5 at $T_{spin} \approx 45$ K (see for example Figure 4 in Gibb et al. [2003\)](#page-11-0). The strong R0 and R1 lines are detected simultaneously (Figure [2\)](#page-5-0). Their flux ratio was determined with good precision and compared to the predicted flux ratios, that depend on both spin and rotational temperature (Gibb et al. [2003\)](#page-11-0). We tested a range of spin temperatures, and (initially) adopted the rotational temperatures found for water measured simultaneously (Table [2\)](#page-6-0). Measured and predicted line ratios are summarized in Table [5.](#page-8-0)

We found that the R0*/*R1 line ratio was consistent with spin temperatures higher than 35 K for both dates (2004 November 28 and 2005 January 19). Our January observations rule out T_{spin} below 38 K at the 99% confidence limit imposed by the 3*σ* stochastic noise uncertainty (\approx 12%) in the measured line ratio. For November, the observed line ratio differs from the statistical equilibrium value by only 1.1*σ* (the 3*σ* stochastic noise limit is T_{spin} > 35 K). This result is consistent with the independently derived value by Kawakita & Kobayashi [\(2009\),](#page-11-0) who report $T_{\text{spin}}(CH_4)$ > 36 K at the 95% confidence limit, based on χ^2 retrieval.

We verified that the assumed rotational temperature for methane does not impact our conclusion for $T_{spin} \gtrsim 35$ K. This conclusion holds for a wide range of T_{rot} as shown in Table [5](#page-8-0) and Figure [4.](#page-8-0) Assuming a rotational temperature substantially lower than that found for H_2O reduces the agreement between observed and predicted line ratios for any value of the spin temperature. However, the predicted line ratio (R0*/*R1) for statistical equilibrium still provides the best match to the measurement. Assuming rotational temperatures higher than measured for wate*r* strongly favors $T_{\rm spin} \gtrsim 35$ K.

We also verified that the R0*/*R1 ratio (and respectively $T_{\rm spin}$) is insignificantly affected by variation in the telluric transmittance function. The reason is that a change in the telluric transmittance produces a correlated change in the derived

 10 D/H(methane) = $0.25 \times CH_3D/CH_4$.

| UT Date | Measured Flux Ratio $(R0/R1)$ | Model T_{rot} (K) | Predicted Flux Ratio (R0/R1) | | | |
|----------------|-------------------------------|----------------------------|--------------------------------|----------------------------------|-----------------------|--|
| | | | Statistical Equilibrium | $T_{\text{spin}} = 38 \text{ K}$ | $T_{\rm spin} = 30$ K | |
| | | 65 | 1.239 | 1.290 | 1.401 | |
| 2004 Nov 28 | 1.126 ± 0.043 | 86 | 1.172 | 1.219 | 1.323 | |
| | | 90 | 1.163 | 1.209 | 1.313 | |
| 2005 Jan 19 | | 70 | 1.220 | 1.269 | 1.378 | |
| | 1.068 ± 0.045 | 93 | 1.157 | 1.203 | 1.307 | |
| | | 110 | 1.128 | 1.173 | 1.275 | |

Table 5 Relative Fluxes Between the R0 and R1 Lines of CH4 (*ν*³ band): Sensitivity to Assumed Spin Temperature

Notes. All flux ratios are corrected for telluric transmittance. The CH₄ rotational temperature (T_{rot}) cannot be constrained from our spectra and therefore is used as a free parameter. Rotational temperatures of 86 K (November) and 93 K (January) were found for H₂O (Table [2\)](#page-6-0).

Figure 4. Dependence of the methane R0*/*R1 flux ratio (CH4, *ν*³ band) on rotational and spin temperatures. Theoretical curves (following Gibb et al. [2003\)](#page-11-0) for $T_{\text{spin}} = 30 \text{ K}$, $T_{\text{spin}} = 38 \text{ K}$, and $T_{\text{spin}} \gtrsim 50 \text{ K}$ (statistical equilibrium) are shown. The measured flux ratios (R0/R1)_{meas} for 2004 November 28 and 2005 January 19 and their +3*σ* stochastic uncertainties are indicated with solid and dashed horizontal lines, respectively. This graphic illustrates that the observed CH₄ R0/R1 flux ratios are consistent with T_{spin} > 35–38 K for a broad range of rotational temperature, including the rotational temperatures derived on those dates for water. See Section 5.2 for discussion on the possible limitations of the spin temperature retrieval.

top-of-the-atmosphere fluxes of the two methane lines, so their relative intensities are only weakly affected. Finally, similar lower limits for T_{spin} are found at both blue (2004 November 28) and red (2005 January 19) Doppler shifts also in favor of the measurement's reliability.

5.2. Limitations of the Spin Temperature Retrieval

The main limitations of the derived spin temperature are: (1) it is based on a single line of each spin species sampled (A and F for R0 and R1, respectively) and (2) a common adopted rotational temperature is assigned to each spin ladder. Most CH4 lines are severely extinguished by telluric absorption at the Doppler shifts of our observations (given in Table [1\)](#page-3-0). As a result, only R0 and R1 are detected with high signal to noise, required for a sensitive T_{spin} measurement.

Even if the Doppler shift was sufficient to obtain high signal to noise for a large number of methane lines, emissions other than R0 and R1 contain lines representing transitions from different spin ladders (Gibb et al. [2003\)](#page-11-0) that remain blended at the spectral resolving power of NIRSPEC. In practice, it is more difficult to derive unique values for $T_{\text{rot}}(CH_4)$ and $T_{spin}(CH_4)$ from such blended transitions. Robust determination of $T_{\text{rot}}(CH_4)$ and $T_{\text{spin}}(CH_4)$ requires detections of multiple lines of spectrally resolved A-, F-, and E-type transitions that sample quantum states having a broad range of rotational energies. This would allow testing whether the rotational population distributions within a given spin ladder can be characterized by a single rotational temperature and whether the T_{rot} measured independently for the three spin ladders agree. Only then T_{spin} can be determined more reliably.

Our retrieval does not allow a robust line-by-line test of the CH4 fluorescence model at hand, similar to the way it has been done for H_2O (e.g., Dello Russo et al. 2004 ; Bonev et al. 2007). For this reason, the reported error in $T_{spin}(CH_4)$ does not include a potential model-related uncertainty.

We defer more detailed discussion on problems related to CH4 spin temperature analysis in comets to a separate paper (E. L. Gibb et al. 2009, in preparation). Although potentially less robust, the value derived here for $T_{spin}(CH_4)$ is in very good agreement with the result derived for H2O in C*/*2004 Q2. Bonev et al. [\(2007\)](#page-11-0) report $T_{spin}(H_2O) > 34$ K (this limit is dominated by the systematic uncertainty in line-by-line analysis which exceeds the uncertainty due to photon noise), while Kawakita & Kobayashi [\(2009\)](#page-11-0) report $T_{\text{spin}}(H_2O) > 27$ K (95% confidence limit, based on χ^2 retrieval).

6. DISCUSSION

6.1. Comparison with Organic Volatile Abundances Measured in Other Comets

The abundances of C_2H_6 , CH₃OH, and HCN relative to H₂O in C*/*2004 Q2 are similar to those observed in several Oort Cloud comets, tentatively referred to as "organics-normal" (see Mumma et al. [2008,](#page-11-0) DiSanti & Mumma [2008,](#page-11-0) Mumma et al. [2003\)](#page-11-0). The abundance of native H_2CO is at the lower end of the range observed in Oort Cloud comets (∼0.1%–∼0.8%).

The mixing ratios $CO/H₂O$ and $CH₄/H₂O$ were found to vary by an order of magnitude (or more in the case of CO) among comets (Mumma et al. [2003;](#page-11-0) Gibb et al. [2003,](#page-11-0) [2007b\)](#page-11-0). However, there is no correlation between the CO and CH4 abundances. This notion is supported by our observations of Q2/Maccholz where the mixing ratio CO/H₂O (\approx 5%) is intermediate in comparison with other comets (abundances vary between *<*0.1% and ∼15%), while CH4*/*H2O (∼1.45%) is near the high end of the observed range ($\langle \sim 0.1\% - \sim 2.0\% \rangle$).

Interestingly, acetylene is low in abundance relative to both water and ethane. The C2H6*/*C2H2 ratio (∼5) in Q2*/*Machholz is substantially larger than observed in other comets. The relative abundance CH₄/HCN (\sim 10) is also distinctly larger than in previous comets observed (\sim 2.5 to \sim 5.5).

More detailed intercomparison among comets observed in the IR (including C*/*2004 Q2) will be presented in a future review dedicated to the emerging taxonomic classification of comets based on parent volatile composition (see Mumma et al. [2008](#page-11-0) for preliminary results). Here, we focus our discussion on two particular topics: evidence for compositional homogeneity in Q2*/*Machholz (Section 6.2) and cosmogonic implications of our measured CH₃D/CH₄ upper limit (Sections 6.3–6.5).

6.2. Compositional Homogeneity of Mean Volatile Release of C/2004 Q2

Infrared measurements of parent volatiles are rarely obtained over a large range of heliocentric distances for a given comet (see Section 3), owing to the difficulty in scheduling adequate observing time. Comets 1P*/*Halley and C*/*1995 O1 (Hale-Bopp) are exceptions. Both featured sufficient advance notice to permit planned campaigns. For Halley, water was studied from 1.16 AU (preperihelion), through perihelion (0.58 AU), and out again to 1.10 AU (postperihelion). The ortho-para ratio of water remained unchanged over that interval (Mumma et al. [1993\)](#page-11-0).

In Hale-Bopp, CO and C_2H_6 were measured from, respectively, 4.1 AU and 3.01 AU preperihelion, through perihelion (0.91 AU), and out again to 2.83 AU postperihelion (DiSanti et al. [2001,](#page-11-0) Dello Russo et al. [2001\)](#page-11-0). The specific mass loss over this period (kg s⁻¹ * R_h^2 , with R_h in AU) was discussed and compared with similar measures of dust and other parent volatiles (Mumma et al. [2003\)](#page-11-0). For comparison, in the radio Biver et al. [\(1997\)](#page-11-0) studied extensively the long-term evolution of outgassing in Hale-Bopp between 7.0 AU (preperihelion) and 4.0 AU (postperihelion). These authors investigated the evolution of production rates and relative abundances of a number of species, founding that the latter did not exhibit substantial changes at R_h < 1.5 AU. Such studies address (at least) two important questions as follows.

- 1. Is the comet nucleus compositionally homogeneous (or heterogeneous) on a bulk scale?
- 2. Are the observed chemical abundances of comet volatiles affected by heliocentric evolution of outgassing?

Several other comets were sampled at IR wavelengths at diverse heliocentric distances. In Comet C*/*2001 A2 (LINEAR), the H_2CO/H_2O ratio varied day-to-day by a factor of 4 at 1.16 AU (while the comet was in outburst), while the CH4*/* H2O ratio increased by a factor of 2 as the comet moved from ∼1.16 AU and 1.55 AU (Gibb et al. [2007b\)](#page-11-0). In 9P*/*Tempel-1, "Deep Impact" returned evidence of dissimilar CO₂/H₂O ratios in individual vents (Feaga et al. [2007\)](#page-11-0). Such variability might be attributed to internal heterogeneity in volatile composition caused by radial dynamical mixing of cometesimals prior to forming a cometary nucleus.

By contrast, Comet 8P*/*Tuttle was observed over a range of heliocentric distances (1.16–1.08 AU, spanning 38 days) during its favorable 2007–2008 apparition and very similar relative abundances resulted from all IR observations (Bonev et al. [2008,](#page-11-0) Böhnhardt et al. [2008\)](#page-11-0), consistent with homogeneous volatile composition.

The split comet 73P*/*Schwassmann-Wachmann 3 (73P*/*SW3) provided the strongest evidence for compositional homogeneity. The mixing ratios of multiple parent volatiles measured in fragments B and C were remarkably similar (heliocentric distances 1.06–0.99 AU; Dello Russo et al. [2007\)](#page-11-0). The mixing

ratios C_2H_6/H_2O and HCN/H_2O measured independently in fragment C (Villanueva et al. [2006;](#page-11-0) based on measurements at heliocentric distances 1.27 and 1.19 AU) and in fragment B (heliocentric distance 1.03 AU; Kobayashi et al. [2007\)](#page-11-0) agree with one another and with those reported by Dello Russo et al. thereby increasing the evidence for compositional homogeneity of the parent body on bulk scales.

The mixing ratios of parent volatiles in C*/*2004 Q2 measured at heliocentric distance of 1.5 AU agree with those measured at 1.2 AU (Figure [3\)](#page-7-0), although separated in time by nearly two months. During this interval, the comet's gas productivity increased by a factor of about 2 (Tables [1](#page-3-0) and [2\)](#page-6-0), while the abundances relative to H_2O remained (nearly) unchanged. The similarity in relative abundances measured at 1.5 AU and 1.2 AU contrasts strongly with the degree of chemical diversity observed among Oort Cloud comets (see Section 1).

Our results support chemical homogeneity in the *mean volatile release* from C*/*2004 Q2, but do not exclude the possibility that the nucleus has more than one active region (perhaps many) as suggested by studies of daughter fragments (Farnham et al. [2007;](#page-11-0) Lin et al. [2007\)](#page-11-0). Even if different active regions are characterized by distinct mixing ratios among volatiles, the "mean" release exhibits nearly identical chemistry suggesting that roughly the same proportions of release are maintained. Such compositional similarities for a given comet strongly suggest that the heliocentric evolution of outgassing (whether gradual, e.g., 8P*/*Tuttle and Q2*/*Machholz, or abrupt, from outburst or splitting as in 73P*/*SW3) has not affected the observed abundances. The most plausible hypothesis is that these abundances are representative of the bulk volatile composition and reflect the early history of cometary ices.

6.3. The D/H Ratio in Methane as a Cosmogonic "Thermometer"

Searches for deuterated species are a key part in testing the "interstellar-comet connection" (Charnley & Rodgers [2008a,](#page-11-0) [2008b\)](#page-11-0). In particular,

- 1. What is the processing history experienced by interstellar organic matter as it is incorporated into the disk of a (low mass) protostar?
- 2. How (and to what extent) is the deuterium fraction modified (with respect insterstellar values) prior to incorporation of ices in cometary nuclei?
- 3. Does "unprocessed" (without change in isotopic signatures) interstellar volatile material exist in comets?

Models that incorporate gas phase chemistry and trace the evolution of D*/*H from cold (10 K) molecular cloud, through core collapse, to formation of protoplanetary disk predict $CH₃D/CH₄ \ge 0.10$ (Aikawa & Herbst [1999,](#page-11-0) [2001;](#page-11-0) see also the nice discussion in Kawakita et al. [2005\)](#page-11-0). On the other hand, our measured upper limit (CH3D*/*CH4 *<* 0.02; 3*σ*) agrees with predictions for methane formation via ion–molecule reactions in relatively warm (*>*25–30 K) gas (Millar et al. [1989;](#page-11-0) Charnley & Rodgers [2008a\)](#page-11-0). Models of gradients in temperature and composition in protostellar cloud cores indeed predict low D*/*H for these outer regions—thought to be the main source for material in the disk at the time when comets form (Charnley & Rodgers $2008b$).¹¹ Thus, the measured upper

¹¹ In the same model, the region characterized by highest D*/*H ratios is in the center of the prestellar cloud. Most of this material would likely be absorbed by the forming protostar.

limit for CH_3D/CH_4 is consistent with, but (quite possibly) does not unambiguously imply formation of cometary methane exclusively via gas phase chemistry at temperatures exceeding 25–30 K.

An important question is whether our measurement can also be explained within the interpretation of Boogert et al. [\(2004\),](#page-11-0) who detected both gas phase and solid phase CH_4 along the line of sight of the massive protostar NGC 7838 IRC 9 and suggested that methane is formed by H-atom addition reactions on grain surfaces and is intimately mixed with water in the protostellar envelope.

Gas-grain chemistry and the effects of three desorption mechanisms (thermal-, photo-, and cosmic ray induced desorption) were incorporated by Willacy [\(2007\),](#page-11-0) who modeled the chemical evolution in a static (i.e., w*/*o mixing processes, see Section 6.4) T Tauri disk formed after the collapse of a molecular cloud. This model predicts CH₃D/CH₄ ratios in the range ∼0.07–0.20. The inconsistency with our upper limit might be related to the initial condition ($CH₃D/CH₄ \approx 0.07$), resulting from methane formation in molecular cloud of temperature equal to 10 K.

These comparisons with chemical models suggest that the methane ice released from the nucleus of Q2*/*Machholz is not dominated by a component that keeps a chemical "memory" of cold $(\ll 25 K)$ environments in the natal cloud or in the outer regions of the protoplanetary disk (where similar chemistry can occur despite higher densities; see Aikawa & Herbst [2001\)](#page-11-0). The $(D/H)_{H₂O}$ ratio (<2.3 × 10⁻⁴, preliminary result) in C/2004 Q2 (Biver et al. [2005\)](#page-11-0) supports a similar conclusion for water. The spin temperatures of $H₂O$ (>34 K, Bonev et al. [2007\)](#page-11-0) and CH4 (*>*35–38 K, this work) in C*/*2004 Q2 also support a relatively warm formation environment, providing T_{spin} is indeed a measure of the chemical formation temperature of the corresponding molecule.

These conclusions are tentative because the current models for evolution of deuterium enrichments in comet volatiles are still under development. One of the principal challenges is to investigate the possibility for nebular (versus interstellar) origin of volatile matter incorporated into comet nuclei.

6.4. The Possibility for Synthesis of Hydrocarbons in the Inner Protoplanetary Disk and Outward Radial Transport of Matter

An alternative pathway that can explain our CH₃D/CH₄ upper limit involves hydrocarbons that formed in the inner nebula. Based on laboratory simulations, Nuth et al. [\(2000\)](#page-11-0) predicted that hydrogenated species can be synthesized along with crystalline dust in the hot environments of the inner solar nebula. Recent laboratory work (Nuth et al. [2008\)](#page-11-0) demonstrated that an organics-rich macromolecular coating forms on various grain surfaces via reactions that reduce CO to produce hydrocarbons. In this process molecules, like CH_4 and C_2H_6 are released in the gas phase, while forming layers of carbon-rich macromolecular residue further providing a catalytic surface for continuing efficient synthesis of organic material. A similar process could produce hydrocarbons efficiently in the hot inner protoplanetary disk.

Outward radial transport of matter could bring these products of high-temperature chemistry to environments where ices can form. Several models (Dubrulle [1993,](#page-11-0) Drouart et al. [1999,](#page-11-0) Shu et al. [2001,](#page-11-0) Bockelee-Morvan et al. [2002,](#page-11-0) Gail [2002\)](#page-11-0) explored mechanisms for radial mixing in the nebula, and predicted "grand-scale" transport of dust and gas within the nebular disk allowing high-temperature chemical products to

be ultimately incorporated into comet nuclei. These predictions were resoundingly confirmed by analysis of refractory minerals in 81P*/*Wild 2 returned by the "Stardust" mission (Brownlee et al. [2006,](#page-11-0) Zolensky et al. [2006\)](#page-11-0).

We expect that material synthesized in the hot inner nebula would be characterized by the protosolar value of D*/*H. Our observed upper limit for CH3D*/*CH4 corresponds to D*/*H (*<*5 × 10⁻³; 3*σ*) exceeding the protosolar value ([2.35 \pm 0.3] × 10−5) ¹² by two orders of magnitude. Therefore, our result does not rule out the hypothesis that (some) methane (and other organics) in C*/*2004 Q2 was synthesized in the hot inner nebula. Under this scenario, the amount of inner-nebula organics stored in comet nuclei would be controlled by

- 1. the time-dependent abundances of various hydrocarbons resulting from inner-nebula chemistry,
- 2. the efficiency and distance scale (neither are wellunderstood at present) of outward radial transport in the protoplanetary disk at a particular time, and
- 3. the conditions in outer ice-forming regions, where comet nuclei could accrete.

Since crystalline dust is also produced in the inner nebula (see review of Wooden [2008\)](#page-11-0), the ratio of crystalline-to-total dust content in C*/*2004 Q2 would provide an additional constraint on the hypothesis of nebular origin for a fraction of organic material stored in the nucleus of this comet (Drouart et al. [1999\)](#page-11-0). A high fraction of crystalline dust is predicted to correlate with low CO-to-hydrocarbons (e.g., $CO/C₂H₆$) and N₂/NH₃ ratios (Nuth et al. [2000\)](#page-11-0).

6.5. Summary on Constraints from CH3D/CH4

Our upper limit for CH_3D/CH_4 (<0.020, 3σ) implies that methane ice released from the nucleus of Q2*/*Machholz is not dominated by an unprocessed component formed in extremely cold (∼10 K) environments either in the inner portions of the protosolar molecular cloud or (at a later evolutionary stage) in the outer regions of the protoplanetary disk. We discussed three formation pathways for methane stored in the nucleus of Q2*/* Machholz:

- 1. Formation of methane in a relatively warm (*>*25 K) gas via ion–molecule chemistry (consistent with the observed CH3D*/*CH4 upper limit).
- 2. Formation of methane simultaneously with H_2O via H-atom addition reactions on interstellar icy grains in the protosolar envelope.
- 3. Synthesis of methane and other hydrocarbons in the hot inner solar nebular, followed by outward radial transport of matter (characterized by protosolar D*/*H) to environments where comet nuclei can form.

Evaluating the relative contributions of these pathways and the extent to which each pathway is consistent with both the measured upper limit for $CH₃D/CH₄$ and the observed volatile abundances relative to H_2O requires further modeling of chemistry including both gas-phase and gas-grain processes in the natal interstellar cloud, during core collapse, and in the protoplanetary disk.

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¹² Weighted mean of the values reported by Geiss & Gloeckler [1998,](#page-11-0) Mahaffy et al. [1998,](#page-11-0) and Lellouch et al. [2001;](#page-11-0) see Mousis et al. [2002.](#page-11-0)

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