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## The Primary Volatile Composition of Comet C/2012 K1 (PanSTARRS)

by

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#### ABSTRACT

On 2014 May 22 and 24 we characterized the volatile composition of the dynamically new Oort cloud comet C/2012 K1 (PanSTARRS) using the long-slit, high resolution  $(\lambda/\Delta\lambda \approx 25,000)$  infrared echelle spectrograph (NIRSPEC) at the 10 m Keck 2 telescope on Maunakea, HI. We detected fluorescent emission from six primary volatiles (H<sub>2</sub>O, HCN, CH<sub>4</sub>, C<sub>2</sub>H<sub>6</sub>, CH<sub>3</sub>OH, and CO). Upper limits were derived for C<sub>2</sub>H<sub>2</sub>, NH<sub>3</sub>, and H<sub>2</sub>CO. We report rotational temperatures, production rates, and mixing ratios (relative to water). Compared with median abundance ratios for primary volatiles in other sampled Oort cloud comets, trace gas abundance ratios in C/2012 K1 (PanSTARRS) for CO, CH<sub>4</sub>, and HCN are consistent, but CH<sub>3</sub>OH and C<sub>2</sub>H<sub>6</sub> are enriched while H<sub>2</sub>CO and possibly C<sub>2</sub>H<sub>2</sub> are depleted. When placed in context with comets observed in the near infrared to date, the data suggest a continuous distribution of abundances of some organic volatiles among the comet population.

#### **1. INTRODUCTION**

Comets are among the most primitive remnants from the formation of the solar system. They were some of the first bodies to accrete in the solar nebula, forming in the outer (>5 AU) giant planet region. The chemical composition of their nuclei should reflect the chemical makeup of the midplane of the protoplanetary disk where (and when) they formed. Gravitational interactions with the giant planets during the final phases of planet formation ejected many comets into either the Oort cloud (Gladman 2005) or the Kuiper disk (scattered disk population, see Morbidelli & Brown 2004). These two regions make up the major dynamical reservoirs of the solar system for comets that become available for remote sensing using high-resolution spectroscopy.

Since their emplacement in the Oort cloud or the Kuiper disk, the interior compositions of cometary nuclei have remained (at least to a large degree) unchanged. Most processes considered to alter the properties of the nucleus during its (~4.5 billion years) residence in the Oort cloud (or the Kuiper disk) are expected to affect a thin (a few meters deep) layer near the surface (see Stern 2003 for a detailed discussion of these processes for Oort cloud comets). This layer is lost during a typical passage through the inner solar system. Due to the scattering processes that placed comets in their present-day reservoirs, the Oort cloud and Kuiper disk contain comets that may represent widely varying formation regions in the solar nebula. Determining the native volatile (i.e., as contained as ice in the nucleus) composition can provide insights into these formation regions and also the formation pathways (Levison et al. 2010).

As comets enter the inner solar system, increasing radiation from the sun causes native ices to sublimate and release primary volatiles into the coma (a freely expanding atmosphere, or exosphere), a dust tail, and an ion tail. Near infrared spectroscopy of fluorescent emission can be used to characterize the primary volatile composition of the coma, and by inference the nucleus. Early results led to characterization of (at least) three taxonomic classes: "organics-depleted", "organics-normal", or "organics-enriched" (Mumma & Charnley 2011), based on measured abundance ratios (also termed "mixing ratios") of their primary volatiles relative to H<sub>2</sub>O (the most abundant ice in comets). However, the compositions of some comets do not fit into any of these proposed taxonomic classes, challenging and requiring expansion of this classification system (Bonev et al. 2008a; Radeva et al. 2013; Gibb et al. 2012).

To that end, we add the volatile composition of comet C/2012 K1 (PanSTARRS) to the body of work, with the hope of further establishing the taxonomic classification of primary volatiles among comets. In section 2 we discuss our observations and data analysis. In section 3 we present our results. In section 4, we provide a detailed discussion of our results in the context of the comet population.

#### 2. OBSERVATIONS AND DATA REDUCTION

Comet C/2012 K1 (PanSTARRS) (hereafter K1) was a dynamically new Oort cloud comet on its first journey into the inner Solar System (Nakano 2013). K1 reached perihelion (1.05 AU) on 2014 August 27 and was closest to Earth (0.95 AU) on 2014 October 31. On 2014 May 22 and 24, we observed K1 with the high-resolution ( $\lambda/\Delta\lambda \sim$  25,000), near infrared, long-slit echelle spectrograph NIRSPEC at the 10 m W.M. Keck Observatory (McLean et al. 1998) to characterize its volatile composition. The observing log is shown in Table 1. We targeted nine primary volatiles (CO, H<sub>2</sub>O, C<sub>2</sub>H<sub>2</sub>, C<sub>2</sub>H<sub>6</sub>, CH<sub>4</sub>, H<sub>2</sub>CO, CH<sub>3</sub>OH, HCN, and NH<sub>3</sub>) and two product volatiles (OH\* and NH<sub>2</sub>). Observations were performed with a 3 pixel (0.43") wide slit, using a standard ABBA nod pattern, with

a 12" beam separation along the 24" long slit. Combining spectra of the nodded beams as A-B-B+A cancelled emissions from thermal background, instrumental biases, and "sky" emission (lines and continuum) to second order. The data were dark subtracted, flat fielded, cleaned of cosmic ray hits and high dark current pixels, and corrected for anamorphic optics. A detailed description of the flux calibration (using BS-5447) and reduction procedure can be found in Bonev (2005, Appendix B), Radeva et al. (2010), Villanueva et al. (2011a) and references therein.

Atmospheric spectra were synthesized using the Line-By-Line Spectral Transmittance Model optimized for Mauna Kea's atmospheric conditions (Clough et al. 2005; Villanueva et al. 2011b). These models were used to determine column burdens for absorbing species in the atmosphere and to assign wavelength scales to the extracted spectra. The atmospheric models were binned to the resolution of the comet spectrum and normalized to the comet's continuum level. The atmospheric models were then subtracted from each row of the cometary spectra; co-addition of multiple rows resulted in the residuals shown in Figures 2-3.

Production rates (Q, molecules s<sup>-1</sup>) were determined using the Q-curve methodology (e.g., Bonev 2005; DiSanti et al. 2001, Gibb et al. 2012), which averages the emission intensity on either side of and equidistant from the nucleus, stepped in 0.6-arcsec intervals along the slit, resulting in a "symmetric" Q-curve. A spherically symmetric outflow velocity  $v_{gas}$ =0.8R<sub>h</sub><sup>-0.5</sup> km s<sup>-1</sup> was assumed (Bonev, 2005). The symmetric Q-values increase with nucleocentric distance due primarily to seeing, until reaching a terminal value, referred to as the global production rate.

Growth factors, defined as  $GF = Q_{global}/Q_{NC}$ , where  $Q_{NC}$  is the nucleocentric production rate, were determined for both the gas and the dust when there was sufficient signal-to-noise. Only water and ethane had sufficient signal-to-noise to constrain the growth factor. Both molecules have similar spatial profiles (see Figure 1) and provide similar growth factors (see Table 2). Hence, those growth factors were applied to the remaining molecules to determine the production rates.

The *g*-factors used to generate synthetic fluorescent emission models in this study were adopted from quantum mechanical models for each molecule. These models include CH<sub>4</sub> (Gibb et al. 2003),  $C_2H_6 v_7$  (Villanueva et al. 2011a), H<sub>2</sub>O (Villanueva et al. 2012a), CH<sub>3</sub>OH (Villanueva et al. 2011b), HCN (Villanueva et al. 2011b), H<sub>2</sub>CO (DiSanti et al. 2006), OH\* (Bonev et al. 2006),  $C_2H_2$ , CO (Villanueva et al. 2011b), and NH<sub>3</sub> (Villanueva et al. 2011a).

#### **3. RESULTS**

We detected fluorescent emission from six primary volatiles (H<sub>2</sub>O, HCN, CH<sub>4</sub>, CH<sub>3</sub>OH, C<sub>2</sub>H<sub>6</sub>, and CO). We report production rates for these, and upper limits for C<sub>2</sub>H<sub>2</sub>, NH<sub>3</sub>, and H<sub>2</sub>CO in K1. Synthetic models of fluorescent emission for each targeted species were compared to observed residual line intensities, correcting each line for the monochromatic atmospheric transmittance at its Doppler-shifted wavelength (according to the geocentric velocity of the comet). A Levenberg-Marquardt nonlinear minimization technique (Villanueva et al. 2008) was used to fit fluorescent emission from all species simultaneously in each echelle order, allowing for high-precision results, including in crowded spectral regions containing many spectral lines within a single instrumental resolution element. Rotational temperatures were determined using correlation and

excitation analyses as described in Bonev (2005, pp. 53-65), Bonev et al. (2008b), DiSanti et al. (2006), and Villanueva et al. (2008). Rotational temperatures were extracted for individual species, and the best constrained was that of H<sub>2</sub>O, whose lines are intrinsically bright and for which a broad range of excitation energies was sampled in order 26 of our KL2 setting. On May 22, retrieved rotational temperatures were in satisfactory agreement; the rotational temperature derived for H<sub>2</sub>O was applied to species for which rotational temperatures could not be well constrained. [In general, rotational temperatures agree for different primary species within a comet (see for example Gibb et al. 2012 and references therein; also see section 3.2.1 of DiSanti et al. 2016), supporting this approach.] However, the H<sub>2</sub>O rotational temperature was poorly constrained on May 24, owing to poor SNR in orders with temperature-sensitive water lines. Therefore, the May 22 H<sub>2</sub>O rotational temperature was adopted. Spectra and best-fit fluorescence models are shown in Figures 2-3. Best-fit rotational temperatures, growth factors, production rates, and mixing ratios for each date are given in Table 2.

#### 4. Discussion

The matter of classifying comets according to their primary volatile composition has proven to be a complex undertaking. Extensive work at optical wavelengths has revealed that comets can be classified as "typical" or "carbon-chain depleted" based on their product species (e.g., A'Hearn et al. 1995; Cochran et al. 2012, and references therein). Additional work has been done using radio observations, where no clear taxonomic classes have been found (Crovisier et al. 2009; Mumma & Charnley 2011, and references therein). A similar endeavor began in the infrared with comets 1P/Halley (Mumma et al. 1986), C/1995 O1 (Hale-Bopp) (Dello Russo et al. 2000; Dello Russo et al. 2001; DiSanti et al. 2001; Magee-Sauer et al. 1999), and C/1996 B2 (Hyakutake) (Mumma et al. 1996; Dello Russo et al. 2002; DiSanti et al. 2003; Magee-Sauer et al. 2002). The primary volatile composition of these comets suggested that they are chemically similar objects (Mumma et al. 2003). Subsequent observations of comets C/1999 S4 (LINEAR) (Mumma et al. 2001) and 73P/Schwassmann-Wachmann 3B (Villanueva et al. 2006) showed two comets that were highly depleted in virtually all trace primary volatiles relative to water, while at the other extreme comet C/2001 A2 (LINEAR) (Magee-Sauer et al. 2008) (and later C/2007 W1 Boattini) was enriched in the sampled trace primary volatiles. These results formed the basis for the aforementioned (Section 1) three-tiered taxonomy based on primary volatile abundance ratios (organics-enriched, organics-normal, organics-depleted; e.g. see Mumma & Charnley 2011 and references therein)

Recent work has suggested that the 3-fold classification scheme is incomplete and more complex. For example, the primary volatile composition of comets 8P/Tuttle, C/2007 N3 (Lulin) and 2P/Encke (Bonev et al. 2008a; Gibb et al. 2012; Radeva et al. 2013) show no systematic enrichment, depletion, or similarity to the mean. Among these three comets, CH<sub>3</sub>OH may be seen as a "smoking gun" that shows comet primary volatile compositions are more complex than the current taxonomic system. All three comets had high CH<sub>3</sub>OH abundances while being depleted in other molecules, such as C<sub>2</sub>H<sub>2</sub>. This suggests that the chemical diversity among comets is perhaps more complex than the simple organics-enriched, organics-normal, and organics-depleted framework. In this context, it should be noted that the taxonomy based on product species now suggests as many as seven distinct groupings (Schleicher and Bair 2014; Cochran et al. 2015). However, both dust and gas can contribute product species, complicating the comparison with the emerging taxonomy based on primary species alone.

How does the primary volatile composition of comet K1 compare to other sampled Oort cloud comets? Using primary volatile abundances reported in Oort cloud comets using near infrared spectroscopy (in order to minimize uncertainties caused by different instruments/telescopes and wavelength regimes), we define a cometary median (see Table 3) for each primary volatile commonly studied in the infrared. Also shown in Table 3 are the mixing ratios of these species in K1 (given as a weighted average for molecules detected on both dates). From these it can be seen that CH<sub>3</sub>OH (3.92%) and C<sub>2</sub>H<sub>6</sub> (1.19%) are enriched, CO (3.55%), CH<sub>4</sub> (0.88%), and HCN (0.16%) are consistent with the cometary median, H<sub>2</sub>CO (<0.25%) is depleted, and the 3 $\sigma$  upper limit for C<sub>2</sub>H<sub>2</sub> (<0.19%) suggests it may also be depleted.

The next natural question is whether the distribution of primary volatiles among comets is more nearly continuous versus distinct. Figure 4 shows histograms of abundances relative to water for HCN,  $C_2H_6$ ,  $CH_3OH$ , and  $CH_4$ , respectively. For each molecule, most comets have abundances close to the median, with some showing enrichment in certain molecules and depletion in others. Overall, the abundances of wellsampled primary volatiles, such as  $C_2H_6$  and  $CH_3OH$ , suggest the emergence of a continuous distribution. The addition of K1 emphasizes this. Prior to this study, there was a lack of comets with  $C_2H_6$  abundances between 0.87% and 1.70% (between "average" and "enriched"; Figure 4, panel [B]). K1 falls in this gap, suggesting that the gap resulted from the relatively small number of comets studied. This also suggests that the apparent gap for CH<sub>3</sub>OH abundances between 0.20% and 1.0% (between "depleted" and "average"; Figure 4, panel [C]) may be filled with additional comet observations. Examination of Figure 4 also shows that the level of enrichment or depletion in a given comet does not necessarily correlate across all molecules sampled. One comet may be enriched in CH<sub>3</sub>OH and consistent with normal in HCN (K1) while another may be depleted in CH<sub>3</sub>OH but not in HCN (73P/SW 3B), challenging attempts to assign definitive taxonomic classes.

There are several unanswered questions that need to be addressed before the distribution of volatile abundances in comets can be understood. First, what is the range of abundances for trace volatiles in comets? Are the currently proposed "taxonomic endmembers" (C/2001 A2 on the "enriched" end and C/1999 S4 on the "depleted" end) truly representative of compositional extremes? On the low abundance end, we are limited by technology and the sensitivity of state-of-the-art techniques. On the upper end, we are limited by the relatively small number of comets measured to date with adequate signal-to-noise ratio. Of the ~10<sup>11</sup> cometary nuclei that reside in the Oort cloud (Emel'Yanenko et al. 2007), we have measured primary volatile abundances for < 30 comets in the infrared. For some molecules, most specifically  $C_2H_2$  and OCS, that number is much lower, due principally to lack of sensitivity (in the case of  $C_2H_2$ ) and/or spectral coverage (in the case of OCS) in our "standard" NIRSPEC settings.

However, we expect both areas to be addressed with the availability of a powerful new cross-dispersed spectrograph (iSHELL) at the NASA-IRTF (Rayner et al. 2012). As the answers to these questions become clearer, we may also ask whether the distribution of primary volatile abundances in comets is a primordial effect preserved from cometary formation in the solar nebula, or if we are instead sampling heterogeneous nuclei, such as the binary comet 67P/Churyumov-Gerasimenko (Rickman et al. 2015). Clearly, more studies of the primary volatile compositions of comets are needed to answer these complex questions.

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**Figure 1** – Emission spatial profiles of  $C_2H_6$  gas (dashed red line),  $C_2H_6$  dust (solid red line),  $H_2O$  gas (dashed blue line) and  $H_2O$  dust (solid blue line). All profiles are normalized to the mean intensity of the central three pixels.



**Figure 2.** Detections of  $C_2H_6$ ,  $CH_3OH$ ,  $H_2O$ , HCN,  $CH_4$ , and  $OH^*$  in comet C/2012 K1 on 2014 May 22. The comet spectrum (after subtracting the telluric model) is plotted in black. Below are the individual best-fit fluorescent emission models contributing to that spectrum for  $OH^*$  (purple),  $CH_3OH$  (blue),  $C_2H_6$  (yellow), HCN (brown),  $CH_4$  (gold), and  $H_2O$  (orange). The residual (spectrum – model, black) and  $1\sigma$  error envelopes (green) are plotted at the bottom of each panel.



**Figure 3.** Detections of  $H_2O$  and CO on 2014 May 24. The comet spectrum (after subtracting the telluric model) is plotted in black. Below are the individual best-fit fluorescent emission models contributing to that spectrum for  $H_2O$  (orange) and CO (turquoise). The residual (model-spectrum, black) and  $1\sigma$  error envelopes (green) are plotted at the bottom of the panel.



**Figure 4** – Abundances of HCN (panel A),  $C_2H_6$  (panel B),  $CH_3OH$  (panel C), and  $CH_4$  (panel D) in comets. Blue bars represent Oort cloud comets, green bars represent Jupiter Family comets, mint bars represent Halley-type comets, downward orange arrows represent  $3\sigma$  upper limits, and the golden arrows on the right hand side show the median abundance for each molecule. K1 is highlighted with a red arrow illustrating how it fills in a gap between "average" and "enriched" comets in  $C_2H_6$ .

## Table 1

### Observing Log and H<sub>2</sub>O Production Rates

UT Date	NIRSPEC Setting	UT	$R_{\rm h}$	$dR_{\rm h}/dt$	Δ	$d\Delta/dt$	$T_{\rm int}$	$Q(H_2O)$
			(AU)	(km s <sup>-1</sup> )	(AU)	(km s <sup>-1</sup> )	(minutes)	$(10^{28} \text{ s}^{-1})$
2014 May 22	KL1	6:20-7:10	1.857	-20.32	1.556	14.94	43	4.02(0.27)
	KL2	7:18-8:46	1.857	-20.32	1.557	15.04	52	4.53(0.28)
2014 May 24	KL1	5:48-6:29	1.846	-20.30	1.565	15.44	27	4.83(0.27)
	MWA	6:39-8:37	1.845	-20.30	1.565	15.53	15	4.07(0.96)
	KL2	8:49-9:06	1.844	-20.29	1.566	15.73	19	5.41(0.79)

Notes.  $R_{\rm h}$ ,  $dR_{\rm h}/dt$ ,  $\Delta$ , and  $d\Delta/dt$  are heliocentric distance, heliocentric velocity, geocentric distance, and topocentric line-of-sight velocity, respectively, of C/2012 K1;  $T_{\rm int}$  is total integration time on source, and  $Q({\rm H}_2{\rm O})$  is the water production rate.

## Table 2

NIRSPEC	Molecule	T <sub>rot</sub> <sup>a</sup>	Growth	$\mathcal{Q}^{c,d}$	Mixing
Setting		(K)	Factor <sup>b</sup>	$(10^{26} \text{ mol s}^-)$	Ratio <sup>d</sup>
				1)	(%)
	2	014 May 22, <i>I</i>	$R_{\rm h} = 1.857 \; {\rm AU}$	$\Delta = 1.557 \text{ AU}$	[
KL2	H <sub>2</sub> O	44 <sup>+5</sup> -6	$1.7 \pm 0.2^{e}$	453 ± 28	100
	H <sub>2</sub> CO	(44)	(1.7)	< 1.11	< 0.25
	$CH_4$	(44)	(1.7)	$3.97\pm0.51$	$0.88\pm0.13$
	HCN	(44)	(1.7)	$0.77\pm0.11$	$0.17\pm0.03$
	$C_2H_2$	(44)	(1.7)	< 0.85	< 0.19
	$\mathrm{NH}_3$	(44)	(1.7)	< 13.7	< 3.02
KL1	H <sub>2</sub> O	(44)	$1.7 \pm 0.1^{e}$	$402 \pm 30$	100
			$2.3\pm0.5^{\rm f}$		
	$C_2H_6$	(44)	$1.0 \pm 0.3^{e}$	$5.25 \pm 0.46$	$1.31 \pm 0.15$
			$2.0\pm\!\!0.1^{\rm f}$		
	CH <sub>3</sub> OH	(44)	(2.0)	$15.5 \pm 1.5^{g}$	$3.84\pm0.47$
				$19.2\pm2.8^{\rm h}$	$4.78\pm0.78$
				$16.3 \pm 1.3^{i}$	$4.10 \pm 0.10$
	2	014 May 24, <i>I</i>	$R_{\rm h} = 1.834 \; {\rm AU}$	$\Delta = 1.574 \text{ AU}$	[
KL2	H <sub>2</sub> O	(44)	$2.0 \pm 0.2^{e}$	$407\pm96$	100
	HCN	(44)	(2.0)	$0.64\pm0.09$	$0.16 \pm 0.04$
KL1	H <sub>2</sub> O	(44)	$1.3 \pm 0.2^{e}$	$483\pm27$	100

## Volatile Composition of Comet C/2012 K1 (PanSTARRS)

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			$1.6 \pm 0.2^{\rm f}$		
	$C_2H_6$	(44)	(2.0)	$5.34\pm0.59$	$1.11 \pm 0.14$
	CH <sub>3</sub> OH	(44)	(2.0)	15.5 ± 3.8	$3.21 \pm 0.82$
	CH <sub>4</sub>	(44)	(2.0)	< 4.37	< 0.91
MWA	H <sub>2</sub> O	(44)	(2.0)	541 ± 79	100
	СО	(44)	(2.0)	$19.2 \pm 2.7$	$3.55\pm0.72$

## Notes.

<sup>a</sup> Rotational temperature. Values in parentheses are assumed.

<sup>b</sup> Growth factor. Values in parentheses are assumed.

<sup>c</sup> Errors in production rate include line-by-line deviation between modeled and observed intensities and photon noise (see Bonev, 2005; Dello Russo et al. 2004; Bonev et al. 2007).

<sup>d</sup> Upper limits are  $3\sigma$ 

<sup>e</sup> Dust growth factor

<sup>f</sup> Gas growth factor

<sup>g</sup> CH<sub>3</sub>OH production rate from KL1 Order 22

 $^{\rm h}\,{\rm CH_3OH}$  production rate from KL1 Order 23

<sup>i</sup> Weighted average CH<sub>3</sub>OH production rate from KL1 Order 22 and KL1 Order 23

#### Table 3

Molecule	Abundance in K1 <sup>a</sup>	<sup>a</sup> Median Abundance in OC			
		Comets <sup>b</sup>			
C <sub>2</sub> H <sub>6</sub>	1.19%	0.61%			
CH <sub>3</sub> OH	3.92%	2.14%			
$CH_4$	0.88%	0.90%			
HCN	0.16%	0.19%			
NH <sub>3</sub>	< 3.02%	0.66%			
$C_2H_2$	< 0.19%	0.17%			
H <sub>2</sub> CO	< 0.25%	0.38%			
СО	3.55%	3.73%			

Abundance of Primary Volatiles in C/2012 K1 and Median in Oort Cloud Comets

#### Notes.

<sup>a</sup> Abundances are given as weighted averages for molecules detected on both dates.

<sup>b</sup> Cometary median calculated from primary volatile abundances in OC comets C/2007 W1 (Boattini) (Villanueva et al. 2011b), C/2001 A2 (LINEAR) (Magee-Sauer et al. 2008), C/2013 R1 (Lovejoy) (Paganini et al. 2014a), C/1999 H1 (Lee) (Dello Russo et al. 2006), C/1999 T1 (McNaught-Hartley) (Mumma et al. 2001), C/2007 N3 (Lulin) (Gibb et al. 2012), C/2009 P1 (Garradd) (Villanueva et al. 2012c; Paganini et al. 2012; DiSanti et al. 2014), C/1995 O1 (Hale-Bopp) (Dello Russo et al. 2000; Dello Russo et al. 2001; DiSanti et al. 2001; Magee-Sauer et al. 1999), 153P/Ikeya-Zhang (Dello Russo et al. 2004), C/1996 B2 (Hyakutake) (Dello Russo et al. 2002; DiSanti et al. 2003; Magee-Sauer et al. 2002), C/2002 T7 (LINEAR) (DiSanti et al. 2006), C/2004 Q2 (Machholz) (Bonev et al. 2009), C/2006 M4 (SWAN) (DiSanti et al. 2009), C/2000 WM1 (LINEAR) (Radeva et al. 2010), C/2009 R1 (McNaught) (Milam et al., in preparation), C/2012 F6 (Lemmon) (Paganini et al. 2014b), C/2012 S1 (ISON) (Paganini et al. 2013; DiSanti et al., in press), and C/1999 S4 (LINEAR) (Mumma et al. 2001).